

Business Ethics for APEC SMEs Initiative: Medical Device & Biopharmaceutical Sectors

Draft Strategic Assessment of 2020 Commitments

INPUTS <i>Who Provides What</i>	ACTIVITIES <i>What We Do Together</i>	OUTPUTS <i>The Products of Our Work</i>	OUTCOMES <i>The Changes from these Products</i>	IMPACT <i>The Benefits from these Changes</i>	
<ul style="list-style-type: none"> • Industry (SMEs): Commitment to engage directly with the initiative and local industry associations to strengthen their own capacity • Industry (Large Enterprises): Commitment to engage directly with the initiative and local industry associations to strengthen their own capacity and to lend resources (expertise, financial, etc.) • Industry (Associations): Commitment to engage directly with the initiative and their members to strengthen the capacity of enterprises within their economy and to lend resources (expertise, financial, etc.) • Governments: Commitment to serve as partners and foster regulatory environments that facilitating ethical behavior, lend resources (expertise, financial, etc.) • Healthcare Professionals / Patients: Commitment to engage directly with the initiative at all levels to build capacity, align practices, and lend resources (expertise, financial, etc.) 	<ul style="list-style-type: none"> • Setting Best Practices: Identify, align, and endorse the highest standard ethical business practices across all member economies. • Capacity-Building: Facilitating the adoption and implementation of the highest standard ethical business practices by all industry and non-industry actors relevant to both sectors, coordinating opportunities between and within member economies. • Monitoring and Evaluation: Setting indicators to quantify progress in code adoption and implementation across all member economies, monitoring to determine changes over time, and evaluation of the results. 	APEC Kuala Lumpur Principles & APEC Mexico City Principles / Model Industry Association Code of Ethics	Recognition and support to strengthen ethical business practices by APEC Leaders/Ministers	<ul style="list-style-type: none"> • Economic: Strengthen growth and cross-border trade, competitiveness; reduce public waste and regulatory / enforcement burden. • Business: Strengthen domestic and cross-border market access for SMEs, facilitate fair competition in the marketplace, improve productivity; reduce reputational risks and legal business costs. • Social: Strengthen health system outcomes for patients, public confidence/trust in healthcare system, access to life saving and enhancing products, and innovation capabilities. 	
		Mentor Team Networks (By Sector)	Annual APEC Business Ethics for SMEs Forum (2014-2020): Plenary and In-Person Workshops		Universal medical device and biopharmaceutical industry association code adoption by 2020
		APEC Biopharmaceutical and Medical Device Working Groups	APEC Code of Ethics Compendiums		Implementation of industry association codes by a majority of their member enterprises by 2017
		Consensus Frameworks for Ethical Collaboration within each APEC economy, inclusive of industry, government, healthcare professionals, patients, and/or other relevant stakeholders	APEC Business Ethics Capacity Building Fund		Regional alignment between industry association codes and healthcare professional association codes by 2020
		Partnerships between APEC governments, industry and healthcare professional associations, and patient groups by 2020	APEC Guidance for Ethical Third Party SMI Relationships (Medical Device Sector) and Implementation Tools		Digital capacity-building and online certification completed by X individuals across Y organizations by 2020
		Quantitative and qualitative measurements that demonstrate the business, social, and economic case for high-standard ethical business practices	Annual APEC Business Ethics Lighthouse Award		
		Annual Industry Association Survey and Progress Report	Pilot Enterprise-Level Survey & Report on Code Implementation		
		Digital Capacity-Building and Online Certification Program	Research/Report on Business, Economic, and Social Case		
		APEC Healthcare Professionals Ethical Biz Practices Steering Group	Sample of an aligned industry and HCP association code of ethics		
		Guidance for industry associations on non-member enterprise code engagement	Business Ethics for APEC SMEs Student Fellowship Program and/or Compliance Officer Exchange Program		

OUTPUTS & OUTCOMES COLOR CODE: Green (Original Foundation) / Red (Nanjing Declaration) / Yellow (Others in Process) / Blue (Proposed)